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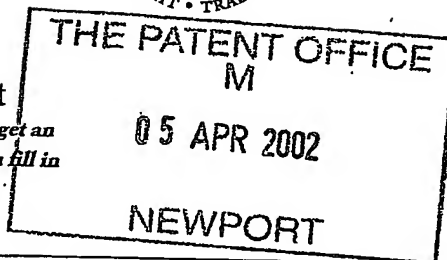
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1/77

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1. Your reference 02P025

2. Patent application number 0207890.5
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3. Full name, address and postcode of the or of each applicant (underline all surnames)
PETER ALVIN RITSON
81A New Ferry Road
New Ferry
Wirral, Merseyside
CH62 9BH, United Kingdom.

Patents ADP number (if you know it)

If the applicant is a corporate body, give the country/state of its incorporation

8354276001

4. Title of the invention CHILD RESISTANT CLOSURE CAP

5. Name of your agent (if you have one) POTTS, KERR & CO.

"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)

15 Hamilton Square
Birkenhead
CH41 6BR
United Kingdom

Patents ADP number (if you know it)

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Claim(s)

Abstract

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11.

I/We request the grant of a patent on the basis of this application.

Signature

Date

Potts, Kevin G.

4 April 2002

12. Name and daytime telephone number of person to contact in the United Kingdom

F. Wombwell
0151-647-6746

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CHILD RESISTANT CLOSURE CAP

This invention relates to a child resistant closure cap. In particular, the present invention relates to a child resistant closure cap that can be easily removed by adults and elderly persons, and which prevents the inadvertent consumption of prescription medicines or hazardous domestic chemicals by children or other minors.

Within the European Union, nearly 500,000 children were poisoned by prescription drugs in 1998. A further 330,000 children were also poisoned by corrosive or toxic domestic chemicals, in spite of the fact that all of these compounds are obliged under Directive 67/548/EEC to be packaged in containers with childproof caps. The current design of childproof caps, relying on differences in strength, dexterity and cognitive ability, suffers from a particular conundrum: the cap has to resist the efforts of a determined child for 10 minutes, but be easy to open and close within the same time by an elderly person. Since the hand strength and dexterity of a 4-year-old child and a 70-year-old adult are approximately the same, designing a mechanical push and twist closure cap which allows easy access by elderly persons, yet which prevents child access has proved very difficult.

This problem is further compounded by the fact that children are being deliberately trained in motor skills at younger and younger ages by complex new toys, and so their capability for opening ever more complex closure caps is growing. In addition, the elderly patient who finds the closure cap difficult to open will simply leave the cap off. These two factors have led to a growth in the accident figures of roughly 5% per year, further exacerbated by the increase in three-generation homes.

Traditional child resistant closure caps all utilise a specific generic differentiator between children and adults to act as the 'key' to unlocking the

opening mechanism. The key to the effectiveness of the childproofing lies in the degree of differentiation that is provided, and how that can be connected to the opening mechanism. There are a number of well known techniques which have been utilised to detect the degree of differentiation, which firstly include the mechanical push and twist closure caps which only operate through a combination of strength and dexterity. Children's hands are incompletely developed until the age of 12 to 15 years and, in particular, the group of bones and cartilage at the base of the wrist (carpal bones) and the bone ends (epiphysis) are absent. This leads to a significantly lower degree of strength and dexterity in children, and push and twist closure caps have proven popular. However, whilst these closure caps are relatively cheap and effective, they can be overcome eventually by combinations of levering, biting and the like, if the child is determined. In addition, their complexity and operating torque frequently defeat adults, and particularly elderly persons, whose strength and dexterity can be the same as a 4-year-old child.

Other prior art techniques include complex 'trap door' lever and maze types of closure cap, which rely more on ingenuity and precision. They suffer from the problem that they are more difficult and challenging for adults as well as children, and the rise of motor skill improving toys has recently provided a good basic training for infants in learning to overcome these devices more easily.

A number of closure caps have also been developed which are simple to operate with a key or code access. These have the advantage of being impossible to open without the key or code, and easy to open with it. However, they do not 'fail safe', in that once the infant has the key or code it can easily get at the contents of the container.

It is the object of the present invention to provide a child resilient closure cap that can be easily removed by adults and elderly persons, and which prevents the inadvertent consumption of prescription medicines or

hazardous domestic chemicals by children or other minors. The present invention uses intrinsic biometric (physical dimension) human attributes to differentiate between adults and children instead of relying on differences in ability. In particular, it is an object of the present invention to provide a child resilient closure cap which senses electronically the size of the thumb, forefinger and middle finger, or hand crotch, prior to unlatching the closure cap.

According to the present invention there is provided a child resistant closure cap for a container, said cap including:

- an electrical generation means for generating and storing electrical energy;

- at least one sensing means, being energised by said electrical generation means, for detecting the biometric attributes of a user attempting to remove said cap; and

- a latching means operable by said at least one sensing means, for releasably securing said child resistant cap to a container, in use.

In use, said cap is adapted to engage with said container via a screw thread. Preferably, said cap being formed from polypropylene or molded from a suitable plastics material. Further, in use, said cap having physical dimensions compatible with standard containers of prescription medicines, hazardous domestic chemicals or other products.

In a preferred embodiment, said electrical generation means is capable of powering said at least one sensing means for detecting the biometric attributes of a user attempting to remove said child resistant cap, and if the biometric attributes are found to match those of an adult, the latching means is opened to allow user access. Preferably, said electrical generation means further comprises a piezoelectric element for converting mechanical energy expended by said user into electrical energy. Said piezoelectric element being formed from a suitable piezoceramic material or polyvinylidene fluoride

film. In one embodiment, said at least one sensing means is provided using at least one piezoelectric transducer which performs both functions of detecting the biometric attributes of said user, and by doing so, also generates and stores electrical energy by virtue of the piezoelectric effect.

Preferably, in order to increase the output voltage produced by said piezoelectric element, said electrical generation means may further include a ratchet-type mechanism for producing a repeatable dynamic force on said piezoelectric element, prior to the user attempting to remove the child resistant cap from said container. In use, the piezoelectric element may be located on a substrate or support plate which can turn freely within the child resistant cap. Prior to the user attempting to place his thumb and fingers near to said at least one sensing means, he rotates a knob mounted on top of the cap which, in turn, rotates the support plate having the piezoelectric element located thereon. As the support plate turns, it meets with a number of deflection nodules located at regular intervals inside the periphery of the cap, causing said support plate to be repeatedly flexed and deformed, which produces a large, regular dynamic force on said piezoelectric element which, in turn, generates a much larger electrical output.

In an alternative embodiment, said electrical generation means may further comprise a flexible piezoelectric element mounted on a support plate, which is located inside a two-part child resistant cap. With such two-part cap, the user must align both sections of the cap prior to said at least one sensing means detecting the biometric attributes of said user. In use, one end of the flexible piezoelectric element and support plate is fixed in one section of the cap; the other end of the piezoelectric element and support plate fixed in a second movable section of the cap. As the user attempts to align both sections of the cap, the flexible piezoelectric element is flexed and distorted which produces a large electrical output.

In a further alternative embodiment, said electrical generation means further comprises a self-winding mechanism, whereby the action involved in releasing and replacing said cap may provide sufficient electrical energy. The work involved in the rotation of motion being released every time the container is handled and which can be harnessed using a self-winding mechanism.

In an alternative embodiment, said electrical generation means further comprises an electromechanical generator. In use, a mechanism is provided which gives sufficient motion of a moveable coil linking a fixed magnetic field to produce said electrical energy. Further, in use, said electrical generation means may also include a plurality of photovoltaic cells for converting light energy into electrical energy.

In a preferred embodiment, said at least one sensing means further comprises a number of sensors mounted on and around said child resistant cap for detecting the biometric attributes of the hand and fingers of the user attempting to remove said child resistant cap. Said biometric attributes may include finger length or finger thickness, or any human dimensions that change with age. In use, the physical position of the sensors is such that a child or other minor would not be able to position his hand and fingers on all sensors simultaneously to operate the latching means.

Preferably, in use, said at least one sensing means further comprises at least one pressure transducer which can be implemented using at least one piezoelectric transducer. In use, said at least one piezoelectric transducer would also perform the function of said electrical generation means and would, preferably be located on the same substrate as said electrical generation means. In use, a substrate containing an array of piezoelectric transducers along with a surface storage capacitor would form an integral biometric sensor and electrical generation means, and which could store and release sufficient electrical energy to operate the latching means.

In use, said at least one sensing means may also comprise a resistance or capacitance sensor. Alternatively, a proximity sensor could also be incorporated to determine, for example, the distance between the top of the cap and the hand crotch of the user.

Further, in use, said latching means only allows user access to the contents of said container after verification by said at least one sensing means. The latching means comprises a spring-loaded pin which extends inwards inside the periphery of the cap. In an open condition, the pin is retained inside the cap by the use of electromagnetic solenoid or piezoelectric actuator. In a locked position, the pin extends outwards into an aperture located on the container. The latching means will always 'fail-safe' as, in the absence of an electrical signal to the actuator, the spring-loaded pin is forced in aperture, and the cap cannot be removed.

In an alternative embodiment, said latching means is incorporated inside a two-part child resistant cap, and further comprises a mechanism which extends between inner and outer parts of the two-part cap. In a locked position, the mechanism is such that the outer part of the cap does not cooperate with the inner part of the cap which, in turn, is engaged to the screw thread on the container. As such, the outer part of the cap turns freely and the cap cannot be unscrewed from the container. In an unlocked condition, the mechanism is such that the inner and outer parts of the cap cooperate with each other, and the user can easily remove the cap from the container.

In use, an audible sounder may also be included to warn that an unauthorised access by a child has been attempted. If an unsuccessful attempt to remove the cap is made by a child, the electrical generation means activates an audible sounder to warn an adult on the premises that the container has been tampered with. In use, said audible sounder may be

provided by an electromagnetic or piezoelectric sounder, although to reduce energy requirements the latter is preferred.

Also according to the present invention there is provided a child resistant cap which, in use, is adapted to engage with a container, comprising:

at least one piezoelectric transducer for detecting the biometric attributes of a user attempting to remove said child resistant cap from said container, and thereby generating an electrical output; and

a latching means, being in connection with at least one piezoelectric transducer, for releasably securing said child resistant cap to said container.

The advantages of the present invention are that a child resilient closure cap is provided that can be easily removed by adults and elderly persons, and which prevents the inadvertent consumption of prescription medicines or hazardous domestic chemicals by children or other minors. The present invention uses intrinsic biometric (physical dimension) human attributes to differentiate between adults and children instead of relying on differences in ability. In particular, a child resilient closure cap is provided which senses electronically the size of the thumb, forefinger and middle finger, or hand crotch, prior to unlatching the closure cap.

It will be obvious to those skilled in the art that variations of the present invention are possible and it is intended that the present invention may be used other than as specifically described herein.

A specific non-limiting embodiment of the invention will be described by way of example and with reference to the accompanying drawings in which:

Figure 1 shows a child resistant closure cap according to the present invention.

Figure 2 illustrates further detail of the latching mechanism between the closure cap and the container.

Figure 3 illustrates an alternative method of generating electrical power inside the closure cap.

Figure 4 shows further detail of a ratchet-type mechanism which may be utilised to power the closure cap.

Referring now to the drawings, a child resistant closure cap according to the present invention is illustrated in Figure 1. In use, the child resistant closure cap 10 is screwed on to any standard container capable of storing prescription medicines, bleaches or any other products that could be poisonous or otherwise dangerous if inadvertently consumed by children or other minors. The closure cap 10 being formed from polypropylene or moulded from a suitable plastics material.

In use, when attempting to remove the cap 10, a user simply places his fingers into the three slotted recesses 12 that are provided around the periphery of the cap 10. Inside each of the recesses 12, a number of sensors 14 detect the width of the thumb, forefinger and middle finger. The spacing between the recesses is such that only an adult's hand can unlock the latching mechanism inside the cap 10 to access the contents of the container.

To generate sufficient electrical power to activate the latching mechanism, a number of techniques may be utilised. In the embodiment shown in Figure 1, each of the sensors 14 inside the recesses 12 comprises a piezoelectric pressure transducer. In use, these piezoelectric pressure transducers perform the function of sensing the width of the thumb and fingers of the user, and by doing so, an electrical output will be generated by virtue of the piezoelectric effect. In particular, as each piezoelectric pressure transducer is mechanically deformed by the thumb or fingers, an electrical

output will be generated across the face of the piezoelectric pressure transducer which is conditioned and stored prior to operating the latching mechanism inside the cap. In use, a single substrate is provided containing an array of piezoelectric pressure transducers and at least one surface storage capacitor, to form an integral biometric sensor and electrical generation means which can store and release the energy to drive the latching mechanism.

A piezoelectric pressure transducer, as described above, does suffer one main disadvantage in that it could possibly be deceived into operating the latching mechanism by placing an appropriately sized non-organic object into each of the recesses 12. In order to overcome this, sensors 12 could be implemented using resistance or capacitance sensors which both detect the size of the thumb or finger along with the resistance or capacitance of such. Resistance sensors can be produced using copper meshing and will detect the contact area placed upon them, and which has the advantage of being low power and less likely to be fooled by non-organic objects. However, the bodies' resistance does vary with perspiration, temperature and age, and this would need to be compensated for in the signal conditioning electronics.

If the present invention is implemented using resistance or capacitance sensors (which are not self-powered) then alternative methods of generating electrical power will be utilised. In particular, the present invention may be implemented using a two-part closure cap, whereby both sections of the cap have to be aligned prior to placing the thumb and fingers in the recesses, and detected by the sensors. In this way, since mechanical energy is expended by the user on rotating and aligning both sections of the cap, such mechanical energy can be readily converted in electrical energy using an electrical generation means which further comprises a piezoelectric element. In use, a flexible piezoelectric element is mounted to a suitable substrate which is fixed in one section of the cap; the other end of the piezoelectric element being fixed in the second section part of the cap. As the user

attempts to align both parts of the cap, the flexible piezoelectric element is flexed and distorted which will produce a large electrical output capable of powering both the sensors and the latching mechanism. Further details of such a flexible piezoelectric element are illustrated in Figure 3.

A further technique for generating a suitable electrical output could be implemented on the closure cap shown in Figure 1, having a ratchet-type mechanism mounted on top of the cap. In use, the user simply rotates the ratchet mechanism, which consists of a movable piezoelectric element, several times prior to placing his thumb and fingers into the recesses. Further details of this ratchet mechanism are shown in Figure 4.

A suitable piezoelectric material which may be used for the electrical generation means, and also the piezoelectric pressure transducers, is polyvinylidene fluoride (PVDF) which is an engineering plastic approved for food contact, and which exhibits piezoelectric behavior. The PVDF film is typically extruded using a blown film technique or from a slot die. When melt-extruded form II PVDF sheet is uniaxially stretched with draw ratios of 3 – 5:1 at a temperature up to 150°C, the material recrystallises predominantly as form I polymorph (required for piezoelectric effect). Drawn PVDF consist of parallel crystalline, folded lamellae within the amorphous phase and between which are less ordered polymer chains. After stretching, dipoles in the crystalline region are still fairly randomly orientated and poling by the means of a high electric field is required to give effective levels of piezoelectric response. The high internal field in the film volume created by the charge build up on the surface aligns the dipoles in form I and also results in the conversion of form II to form I. Piezoelectric effects being doubled when corona poling and stretching are performed simultaneously.

Such a piezoelectric material described above may also be utilised as part of the latching mechanism depicted in Figure 2. In use, if the sensors located around the periphery of the cap validate that the thumb and fingers

placed in the recesses are adult-sized, then a signal will be passed to open the latching mechanism and the user can remove the closure cap. The latching mechanism shown in Figure 2 comprises a spring-loaded pin 16 which extends inwards inside the periphery of the cap 10. In an open condition, the pin 16 is retained inside the cap 10 by the use of electromagnetic solenoid or piezoelectric actuator 18. In a locked position, the pin 16 extends outwards into aperture 22 located on the container 20. In use, a number of these latching mechanisms may be located inside the cap, so that the cap is resistant to physical abuse, particularly shearing. The latching mechanism will always 'fail-safe' as, in the absence of an electrical signal to the actuator 18, the spring-loaded pin 16 is forced in aperture 22, and the cap cannot be removed.

In use, the present invention may also include an audible sounder so that if, for any reason, a child does gain access to the contents of the container, an audible alarm is sounded. Additionally, if an unsuccessful attempt to remove the cap is made by a child, the electrical generation means activates the audible sounder to warn an adult on the premises that the container has been tampered with. In use, the audible sounder may be provided by an electromagnetic or piezoelectric sounder, although to reduce energy requirements the latter is preferred.

Figure 3 shows further detail of how a flexible piezoelectric element can be mounted inside a two-part closure cap. In particular, a flexible piezoelectric element 24 is mounted onto substrate 26, which may comprise a suitable plastics material or sprung steel plate. One end of the piezoelectric element 24 and substrate 26 is pivotably fixed, via post 28, in the first section of the cap; the other end of the piezoelectric element 24 and substrate 26 being fixed in the second moveable section of the cap. When the user attempts to align both sections of the cap, prior to placing his thumb and fingers on the sensors 30, the flexible piezoelectric element 24 is flexed and

distorted which produces an electrical output which is capable of powering the sensors 30 and the latching mechanism.

Figure 4 shows further detail of an alternative ratchet-type mechanism for generating electrical energy. In use, a piezoelectric element 30 is located on a support plate 32 which can turn freely within the child resistant cap via pivot 34. The user rotates a knob mounted on top of the closure cap (not shown) which, in turn, rotates the piezoelectric element 30 and support plate 32 which meets with a number of deflection nodules 36 located within the periphery of the cap. This causes the piezoelectric element 30 and support plate 32 to be repeatably flexed which, in turn, generates a large electrical output for powering the sensors and the latching mechanism. In use, the user simply rotates the knob several times to charge up the sensing circuit, prior to placing his thumb and fingers in the recesses around the cap.

It is also envisaged that a suitable electrical output could be provided using a simple mechanical storage, whereby the actions involved in releasing and replacing the closure cap could provide the source of energy. The work involved in the rotation of motion is released every time the container is handled and may harnessed using a self-winding mechanism, similar to that known with wrist watches. Alternately, the electrical generation means may comprise an electromechanical generator, whereby a mechanism is provided which gives sufficient motion of a moveable coil linking a fixed magnetic field to produce said electrical energy. Furthermore, electrical energy may be generated using a number of photovoltaic cells located on top of the closure cap.

Various alterations and modifications may be made to the present invention without departing from the scope of the invention. For example, although particular embodiments refer to detecting the width of the thumb, forefinger and middle finger to gain access to the contents of the container, this is in no way intended to be limiting as, in use, access to the contents of

the container is allowed by detecting any intrinsic biometric attribute of the hand or fingers of the user attempting to open the container. In particular, a proximity sensor may be provided to measure the distance between the top of the closure cap and the crotch of the hand. In use, the user places three fingers into recesses around the top of the cap (which can also be measured to validate finger size) and a proximity sensor then measures the distance of the hand crotch prior to opening the latching mechanism. Likewise, in a further alternative according to the present invention, a single index finger is placed into an aperture on top of the closure cap and a array of sensors inside the aperture determines the diameter of the finger using piezoelectric pressure transducers.

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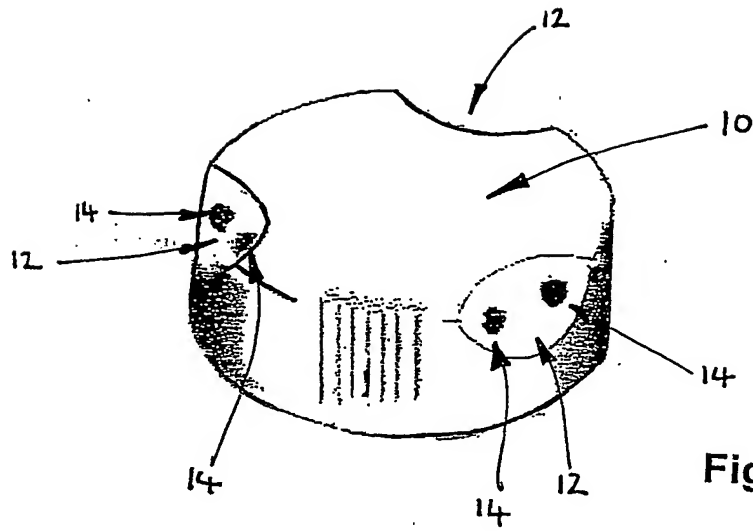


Fig. 1

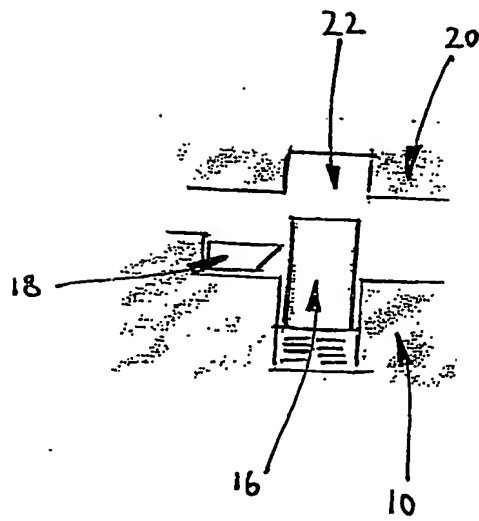


Fig. 2

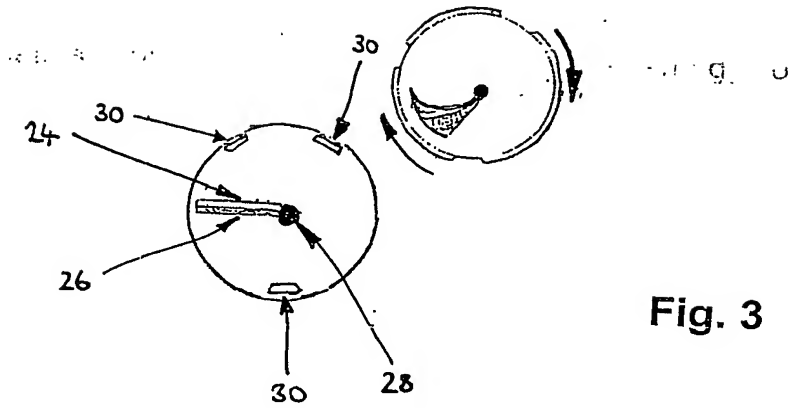


Fig. 3

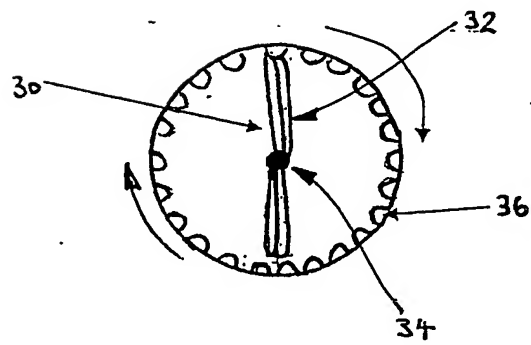


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